

以电子方式发布公司通讯之安排

根据《香港联合交易所有限公司证券上市规则》（「上市规则」）第 2.07A 条，东方证券股份有限公司（「公司」）透过公司网站 <http://www.dfzq.com.cn> 及香港联合交易所有限公司（「联交所」）「披露易」网站 www.hkexnews.hk 以电子方式向公司股东（「股东」）¹ 提供及发布公司通讯，并仅应股东要求向其寄发印刷本形式的公司通讯²。

安排

1. 可供采取行动的公司通讯³

公司将以电子通讯方式（通过电子邮件）向登记股东个别地发送可供采取行动的公司通讯。如果公司没有获取登记股东的电子邮箱地址或其提供的电子邮箱地址无效⁴，公司将以印刷本形式向其发送可供采取行动的公司通讯。非登记股东应与代其持有股份的银行、经纪、托管商、代理人或香港中央结算（代理人）有限公司（统称「中介公司」）联络，并向中介公司提供电子邮箱地址。

2. 公司通讯

公司在公司网站 (<http://www.dfzq.com.cn>) 及联交所网站 (www.hkexnews.hk) 上发布公司通讯。

公司不会向股东发出公司通讯网站版本⁵的登载通知。公司鼓励股东主动留意网站上所有日后的公司通讯的登载情况，并自行浏览公司通讯的网站版本。

提供股东电子邮箱地址

为支持通过电子邮件进行电子通讯，公司建议登记股东随时向公司 H 股证券登记处，香港中央证券登记有限公司，（地址为香港湾仔皇后大道东 183 号合和中心 17M 楼）发出合理书面通知提供其电子邮箱地址。公司亦建议非登记股东应联络代其持有股份的中介公司，并向中介公司提供电子邮箱地址。

股东有责任提供有效的电子邮箱地址。若公司没有获取股东的电子邮箱地址或所提供的电子邮箱地址无效，公司将按照上述安排行事。如果公司向股东提供的电子邮箱地址发送可供采取行动的公司通讯而未收到任何「未送达信息」，则公司将被视为已遵守上市规则。

索取公司通讯和可供采取行动的公司通讯的印刷本

对希望收取所有日后的公司通讯的印刷本的股东，公司将应股东发送至公司 H 股证券登记处（香港中央证券登记有限公司，地址为香港湾仔皇后大道东 183 号合和中心 17M 楼）的书面形式请求，或发送至 dfzq.ecom@computershare.com.hk 的电子邮件，及时地将相关公司通讯的印刷本免费向其寄发。

请注意，收取未来公司通讯印刷版之指示由收悉 阁下指示当日起计一年内有效，除非被撤销或取代（以较早者为准），此后将过期。如果股东希望继续收到日后的公司通讯的印刷本，则需要做进一步书面请求。

公司登记股东和非登记股东用作选取公司通讯之收取方式及/或要求印刷本的回条可透过以下链接下载：

- [供登记股东使用的以电子方式发布公司通讯之通知信函及回条](#)

- [供非登记股东使用的以电子方式发布公司通讯之通知信函及回条](#)

同时，股东亦可于香港联合交易所「披露易」网站下载上述通知信函及回条：

- [供登记股东使用的以电子方式发布公司通讯之通知信函及回条](#)
- [供非登记股东使用的以电子方式发布公司通讯之通知信函及回条](#)

注：

1. 公司证券持有人。
2. 公司通讯包括公司已发布或将要发布以供其任何证券的持有人参照或采取行动的任何文件，包括但不限于(a)董事报告、年度账目以及审计报告副本以及（如适用）财务摘要报告；(b)中期报告及其中期报告摘要（如适用）；(c)会议通知；(d)上市文件；(e)通函；及(f)委任表格。
3. 可供采取行动的公司通讯指任何涉及及要求发行人的证券持有人指示其拟如何行使其有关证券持有人的权利的公司通讯。
4. 股东有责任提供有效的电子邮箱地址。如果本公司向登记股东提供的电子邮箱地址发送可供采取行动的公司通讯而未收到任何「未送达信息」，则本公司将被视为已遵守上市规则。
5. 在公司网站上发布的中英文版本之公司通讯电子版。

Arrangement of Electronic Dissemination of Corporate Communications

Pursuant to Rule 2.07A of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the “**Listing Rules**”), 东方证券股份有限公司 (the “**Company**”) disseminates and publishes Corporate Communications to the shareholders of the Company (the “**Shareholders**”) by electronic means through the website of the Company at <http://www.dfzq.com.cn> and the HKExnews website at www.hkexnews.hk, and only sends Corporate Communications in printed form to the Shareholders upon request in accordance with the following arrangements.

ARRANGEMENTS

1. Actionable Corporate Communications⁴

The Company sends the Actionable Corporate Communications to registered Shareholders individually in electronic form by email. If the Company does not possess the email address of a registered Shareholder or the email address provided is not functional⁵, the Company will send the Actionable Corporate Communication in printed form in the future. Non-registered Shareholders should liaise with their bank(s), broker(s), custodian(s), nominee(s) or HKSCC Nominees Limited through which their H Shares are held (collectively, the “**Intermediaries**”) and provide their email address to their Intermediaries.

2. Corporate Communications

The Company will make the Corporate Communications available on its website (<http://www.dfzq.com.cn>) and the Stock Exchange’s website (www.hkexnews.hk).

The Company will not send a notice of publication of the Website Version⁵ of Corporate Communications to its Shareholders. The Shareholders are encouraged to proactively monitor the availability of all future Corporate Communications on the websites and access the Website Version of Corporate Communications by themselves.

PROVISION OF SHAREHOLDER’S EMAIL ADDRESS

In support of electronic communication by email, the Company recommends its registered Shareholders to provide the Company with their email address at any time in the future by reasonable notice in writing to the Company’s H share registrar, Computershare Hong Kong Investor Services Limited, at 17M Floor, Hopewell Centre, 183 Queen’s Road East, Wan Chai, Hong Kong. The Company also recommends its non-registered Shareholders to liaise with their Intermediaries, and provide their email address to their Intermediaries.

It is the responsibility of the Shareholders to provide email address that is functional. If the Company does not possess the email address of a registered Shareholder or the email address provided is not functional, the Company will act according to the above arrangements. The Company will be considered to have complied with the Listing Rules if it sends Actionable Corporate Communications to the email address provided by a registered Shareholder without receiving any “non-delivery message”.

REQUEST FOR PRINTED COPY OF CORPORATE COMMUNICATIONS AND ACTIONABLE CORPORATE COMMUNICATIONS

For those Shareholders who wish to receive a printed version of all future Corporate Communications, the Company will, upon receipt of request in writing by the Shareholder to the Company's branch share registrar in Hong Kong, Computershare Hong Kong Investor Services Limited, at 17M Floor, Hopewell Centre, 183 Queen's Road East, Wan Chai, Hong Kong or by email to dfzq.ecom@computershare.com.hk, send the relevant Corporate Communications to such Shareholders in printed form free of charge.

Please note that the preference in receiving Corporate Communications in printed form of a Shareholder shall be valid for one year unless being revoked or superseded (whichever is earlier) starting from the receipt date of your instruction and will expire thereafter. Further request in writing will be required if a Shareholder prefers to continue receiving printed copy of future Corporate Communications.

The reply form for registered shareholders and non-registered shareholders of the Company to select the method of receiving Corporate Communications and/or request printed copies can be downloaded through the following links:

- [Notification letter and reply form for electronic dissemination of Corporate Communications for registered shareholders](#)
- [Notification letter and reply form for electronic dissemination of Corporate Communications for non-registered shareholders](#)

At the same time, shareholders can also download the above notification letter from the "HKEXNews" website of The Stock Exchange of Hong Kong:

- [Notification letter and reply form for electronic dissemination of Corporate Communications for registered shareholders](#)
- [Notification letter and reply form for electronic dissemination of Corporate Communications for non-registered shareholders](#)

Note:

- 1. Holder(s) of the security(ies) of the Company.*
- 2. Corporate communications include any documents issued or to be issued by the company for the information or action of holders of any of its securities, including but not limited to (a) the directors' report, its annual accounts together with a copy of the auditors' report and, where applicable, its summary financial report; (b) the interim report and, where applicable, its summary interim report; (c) a notice of meeting; (d) a listing document; (e) a circular; and (f) a proxy form.*
- 3. Actionable corporate communication refers to any corporate communication that seeks instructions from issuer's securities holders on how they wish to exercise their rights or make an election as the issuer's securities holder.*
- 4. It is the responsibility of a Shareholder to provide email address that is functional. The Company will be considered to have complied with the Listing Rules if it sends*

Actionable Corporate Communications to the email address provided by a registered Shareholder without receiving any “non-delivery message”.

5.The electronic version of corporate communications in Chinese and English versions published on the company's website.