



(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name “东方证券股份有限公司” and carrying on business in Hong Kong as “東方證券” (in Chinese) and “DFZQ” (in English))

(Stock Code: 03958)

LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS

The members of the board of directors (the “**Board**”) of the Company are set out below:

Executive Directors

Mr. PAN Xinjun (*Chairman*)

Mr. JIN Wenzhong (*President*)

Non-executive Directors

Mr. WU Jianxiong (*Vice chairman*)

Mr. ZHANG Qian

Mr. WU Junhao

Mr. CHEN Bin

Mr. LI Xiang

Mr. XU Chao

Ms. HUANG Laifang

Mr. ZHOU Yao

Independent Non-executive Directors

Mr. LI Zhiqiang

Mr. XU Guoxiang

Mr. TAO Xiuming

Mr. WEI Anning

Mr. PAN Fei

Mr. XU Zhiming⁽¹⁾

Notes:

- (1) The appointment of Mr. Xu Zhiming will take effect from the date on which the H Shares are listed and from which dealings therein are permitted to take place on the Stock Exchange of Hong Kong Limited.

There are four Board committees. The table below provides membership information of these committees on which Board members serve.

Board Committee Director	Strategy Development Committee	Compliance and Risk Management Committee	Audit Committee	Remuneration and Nomination Committee
Mr. PAN Xinjun	M	C		
Mr. JIN Wenzhong	M	M		
Mr. WU Jianxiong	C			
Mr. ZHANG Qian				M
Mr. WU Junhao			M	
Mr. CHEN Bin				M
Mr. LI Xiang		M		
Mr. XU Chao			M	
Ms. HUANG Laifang				
Mr. ZHOU Yao		M		
Mr. LI Zhiqiang		M		C
Mr. XU Guoxiang			M	M
Mr. TAO Xiuming	M			
Mr. WEI Anning			M	M
Mr. PAN Fei			C	
Mr. XU Zhiming				

Notes:

C Chairman of the relevant Board committees

M Member of the relevant Board committees

Hong Kong, 7 July 2016