



(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name "东方证券股份有限公司" and carrying on business in Hong Kong as "東方證券" (in Chinese) and "DFZQ" (in English))

(Stock Code: 03958)

LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS

The members of the board of directors (the "Board") of 東方證券股份有限公司 (the "Company") are set out below:

Executive Directors

Mr. JIN Wenzhong (*Chairman*)

Mr. GONG Dexiong

Mr. LU Weiming (*President*)

Non-executive Directors

Mr. YU Xuechun

Mr. ZHOU Donghui

Ms. LI Yun

Mr. REN Zhixiang

Ms. ZHU Jing (*Employee Director*)

Independent Non-executive Directors

Mr. WU Hong

Mr. FENG Xingdong

Mr. LUO Xinyu

Mr. CHAN Hon

Mr. ZHU Kai

There are four Board committees. The table below provides membership information of these committees on which Board members serve.

| Director \ Board Committee | Strategic Development Committee | Compliance and Risk Management Committee | Audit Committee | Remuneration and Nomination Committee |
|--|--|---|------------------------|--|
| Mr. JIN Wenzhong | C | C | | |
| Mr. GONG Dexiong | M | | | |
| Mr. LU Weiming | | M | | |
| Mr. YU Xuechun | | | M | M |
| Mr. ZHOU Donghui | | | M | M |
| Ms. LI Yun | M | | | |
| Mr. REN Zhixiang | | M | | |
| Ms. ZHU Jing | M | | | |
| Mr. WU Hong | | M | | C |
| Mr. FENG Xingdong | | | M | M |
| Mr. LUO Xinyu | | | M | |
| Mr. CHAN Hon | M | | | |
| Mr. ZHU Kai | | | C | M |

Notes:

C Chairman of the relevant Board committees

M Member of the relevant Board committees

Shanghai, PRC
October 30, 2023