



(A joint stock company incorporated in the People's Republic of China with limited liability under the Chinese corporate name “东方证券股份有限公司” and carrying on business in Hong Kong as “東方證券” (in Chinese) and “DFZQ” (in English))

(Stock Code: 03958)

LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS

The members of the board of directors (the “**Board**”) of 東方證券股份有限公司(the “**Company**”) are set out below:

Executive Directors

Mr. LU Weiming (*Vice Chairman*)¹

Mr. LU Dayin

Non-executive Directors

Mr. LIU Wei

Mr. YANG Bo

Mr. SHI Lei

Ms. LI Yun

Mr. XU Yongmiao

Mr. REN Zhixiang

Mr. SUN Weidong (*Employee Director*)

Independent Non-executive Directors

Mr. WU Hong

Mr. FENG Xingdong

Mr. LUO Xinyu

Mr. CHAN Hon

Mr. ZHU Kai

There are four Board committees. The table below provides membership information of these committees on which Board members serve.

Director	Board Committee	Strategy and Sustainable Development Committee	Compliance and Risk Management Committee	Audit Committee	Remuneration and Nomination Committee
Mr. LU Weiming		M	C		
Mr. LU Dayin		M			
Mr. LIU Wei				M	
Mr. YANG Bo		M			
Mr. SHI Lei				M	
Ms. LI Yun					M
Mr. XU Yongmiao			M		
Mr. REN Zhixiang		M			
Mr. SUN Weidong					
Mr. WU Hong			M		C
Mr. FENG Xingdong				M	M
Mr. LUO Xinyu			M		M
Mr. CHAN Hon			M	M	
Mr. ZHU Kai				C	

Note:

- (1) Mr. LU Weiming shall perform the duties on behalf of the chairman of the Board from December 8, 2025.

C Chairman of the relevant Board committees

M Member of the relevant Board committees

Shanghai, PRC

December 8, 2025